

EUROPEAN COMMISSION

DIRECTORATE-GENERAL FOR MARITIME AFFAIRS AND FISHERIES

FISHERIES POLICY MEDITERRANEAN AND BLACK SEA Fisheries control and inspections

Brussels, MARE/D4

AUDIT REPORT

IT-2019-D4-01-A

Audit of External Fleet in Italy

21-25 January 2019

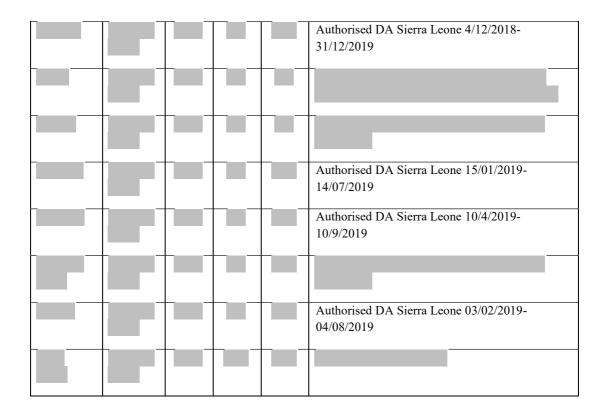
List	of Acro	onyms and Abbreviations	4			
1	Executive summary					
2	Audit	characteristics				
	2.1	Reasons for performing the audit	6			
	2.2	Audit objective and scope	6			
	2.3	Audit methodology	6			
	2.4	Main legal references	7			
	2.5	Audit team	7			
3	Backgr	round factual information	7			
	3.1	Competent entities	7			
	3.2	Italian fleet > 24 m operating outside EU waters (SFPAs, RFMOs)	7			
4	Audit 1	results	8			
	4.1	Administrative organisation and cooperation between competent entities	8			
	4.2	Fishing authorisations (including direct authorisations)	9			
	4.2.1	RFMOs & SFPAs	9			
	4.2.2	Dormant SFPAs	10			
	4.2.3	Direct authorisations	10			
	4.2.4	Chartered vessels	11			
	4.3	Collection of data elements and control procedure	11			
	4.3.1	Fisheries Monitoring Centre	11			
	4.3.2	Vessel Monitoring System (VMS/AIS)	12			
	4.3.3	Electronic Reporting of fishing activities (ERS)	13			
	4.3.4	Sales notes	15			
	4.3.5	Transhipment	15			
	4.4	Operational Monitoring Procedures and Validation of data	16			
	4.4.1	Data cross-checking and validation	16			
	4.4.2	Reporting (quota up take, ACDR)	16			
	4.4.3	Exchange of data between Member States and third countries	17			
	4.4.4	Compliance with third country legislation	17			
	4.5	Inspection of the Italian external fleet	18			
	4.6	Enforcement	19			
	4.7	Other issues	20			
	4.7.1	Reflagging of vessels	20			
	4.7.2	Nationals	20			

	4.7.3	Managements of FADS	20
	4.7.4	Scientific institutes (validation tuna catches under SFPAs)	21
5	Overal	l conclusion	21
6	Memb	er states comments	22
Anı	nex 1 –	Fishing trip sample	22
Anı	nex 2 –	Relevant legislation	23
Anı	nex 3 –	Meeting presence list	24
Anı	nex 4 –	Member States comments to the draft final audit report	25

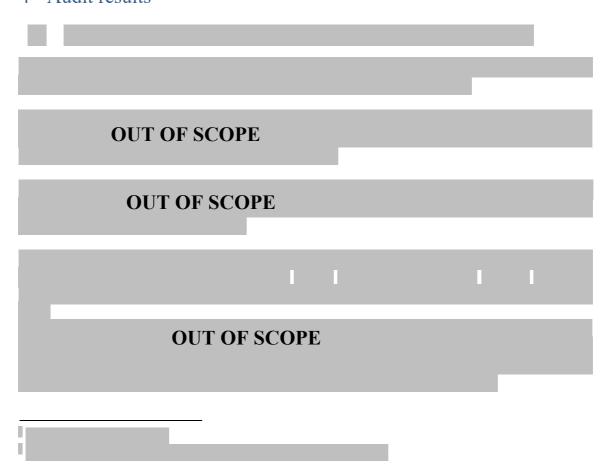
List of Acronyms and Abbreviations

ACDR	Aggregated Catch Data Reporting
AIS	Automatic identification system
COE/COX	Catch on Entry / Catch on Exit
CC	Catch Certificate
CR	Council Regulation (EC) No 1224/2009 (the "Control Regulation")
MIPAAF	Ministero delle Politiche Agricole, Alimentari, Forestali e del Turismo
CG	Coast Guard
DA	Direct Authorisation
EEZ	Exclusive Economic Zone
EFCA	European Fisheries Control Agency
ERS	Electronic Reporting System
FA	Fishing Authorization
FAD	Fish aggregating device
FAR	Council Regulation (EC) No 1006/2008
FMC	Fisheries Monitoring Centre
ORTHONGEL	Organisation of French producers of frozen tuna
PSMA	Port State Measures Agreement
RFMO	Regional Fisheries Management Organisations
SIAN	Italian IT system used for the management of the fishing fleet.
GIANO	Italian IT system used to store the data related to fisheries activities
SFPA	Sustainable Fisheries Partnership Agreements
SMEFF	Regulation (EU) 2017/2403 on the sustainable management of external fishing fleets
VALID	Data Validation and Verification System
VMS	Vessel Monitoring System

	OUT OF	SCODE									
	JUI OF	SCOLE									
L											
D1	1	1 : C									
Backgr	ound fact	uai iniorm	lation		Background factual information						
3.1 Comp	2.1 Compatent antities										
	etent entitie	es									
J.1 Comp	etent entitie	es									
	etent entitie						,				
							,				
							,				
O	OUT OF SC	OPE	outside EU	J waters (SFP	As. RFMO	(s)	,				
O	OUT OF SC	OPE	outside EU	J waters (SFP	As, RFMO	es)					
O	OUT OF SC	OPE	outside EU	J waters (SFP	As, RFMO	rs)	,				
O	OUT OF SC	OPE	outside EU	J waters (SFP	As, RFMO	(s)					
O	OUT OF SC	OPE	outside EU	J waters (SFP	As, RFMO	rs)					
O	OUT OF SC	OPE	outside EU	J waters (SFP	As, RFMO	es)					
O	OUT OF SC	OPE	outside EU	J waters (SFP	As, RFMO	ls)					
O	oUT OF SCo	OPE	outside EU	J waters (SFP	As, RFMO						
O	oUT OF SCo	OPE n operating o	outside EU	J waters (SFP	As, RFMO	(s)					
3.2 Italian	out OF SCo	OPE n operating o									
3.2 Italian	OUT OF SCO	OPE n operating of OF SCOPE	leet and re	elevant authoris	sations						
3.2 Italian	out OF SCo	OPE n operating o	leet and re		sations	(s)					



4 Audit results



pages 9 to 16 were entirely deleted, as they fall outside the scope of the request

OUT OF SCOPE
OUT OF SCORE
OUT OF SCOPE
OUT OF SCOPE
OVE OF COOPE
OUT OF SCOPE
4.4.4 Compliance with third country legislation
At the moment of the audit, the only Italian vessel active under SFPAs was
For the other vessels all based in Dakar, four of them
were currently active in Sierra Leone under private licences (DA),
OUT OF SCOPE
OUT OF SCOLE

The necessary legal information is provided by DG MARE for the relevant SFPAs, or by the coastal State itself where provisions not covered by the SFPA are applicable, or in the case of private fishing activities. To be issued their DA, the Italian vessels active under private licences need to provide MIPAAF with the legislation in force for the various fishing areas, delivered by the coastal State concerned to the vessel operators.

Referring to the fishing activity in Sierra Leone's EEZ, as complementary control measure the Sierra Leone has imposed to the Italian vessels:

- to have on board a second VMS transponder, which sends its messages directly to the Sierra Leone's FMC;
- to have on board a national compliance observer during all fishing activities in the EEZ;
 and
- to start and end their fishing trip in the Sierra Leone's EEZ by passing to a check point for monitoring of the cargo.

So far no problem has been reported by Sierra Leone as regard the completion of these control related requirements.

Italy has no bilateral cooperation with the third country coastal States where its external fleet is operating. The Commission's channels are used where possible. Where needed outside the Commission's channels, Italy takes contact with the third country authorities concerned through its local embassies.

OUT OF SCOPE

Where an infringement to the third country rules occurs, the legislation of the third country applies unless otherwise decided under the related SFPA.

Main findings:

- The delivery of the coastal State legislation in force (as provided by the third country) is a condition for an operator to be issued a DA.
- Referring to Sierra Leone, each active vessel is imposed specific control conditions during all the period of the fishing trip.

4.5 Inspection of the Italian external fleet

	OUT OF SCOPE	

Since April 2018, Sierra Leone imposes all the Italian vessels active under a DA to be inspected in a determined checkpoint before they leave to Dakar.

pages 19 to 26 were entirely deleted, as they fall outside the scope of the request